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**PATENT**  
Attorney Docket No. 206060

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kovesdi et al.

Art Unit: 1614

Application No. 09/780,526

Examiner: Unassigned

Filed: February 9, 2001

For: **METHODS OF PREPARING AND  
USING A VIRAL VECTOR LIBRARY**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner of Patents  
Washington, D.C. 20231

Applicant hereby responds to the Notice To File Missing Parts of Application dated March 27, 2001.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of  other than a small entity or  a small entity.

**2. Fees**

Pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is for  other than a small entity or  a small entity.

**Fee Due** \$65.00

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**CERTIFICATE OF MAILING**

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

April 20, 2001

Date

Peter Phillips

**3. Documents Submitted Herewith**

- a.  Executed Declaration of inventor(s)
- b.  Nucleotide and/or Amino Acid Sequence Submission:
  - i.  Computer Readable Form (CRF)
  - ii. Specification Sequence Listing on:
    - (1)  CD-ROM or CD-R (2 copies); or
    - (2)  Paper Copy
  - iii.  Statement verifying identity of above copies
- c.  Verified English translation of application
- d.  Copy of the Notice to File Missing Parts of Application.
- e.  Other: Return Receipt Postal Card.

**4. Extension Of Term**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- Applicant petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00.
- Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**Extension fee due with this request: \$ 0.00**

**5. Total Fee Due**

The total fee due is:

Surcharge	\$65.00
Extension Fee (if any)	\$0.00

**Total Fee Due: \$ 65.00**

**6. Fee Payment**

- Attached is a check in the sum of \$
- Charge Account No. 12-1216 the sum of \$ 65.00. A duplicate of this transmittal is attached.

**7. Fee Deficiency**

If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.

  
Heather R. Kissling, Reg. No. 45,790  
One of the Agents for Applicant(s)  
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Date: April 20, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/780,526	02/09/2001	Imre Kovesdi	206060

## CONFIRMATION NO. 8376

23460  
 LEYDIG VOIT & MAYER, LTD  
 TWO PRUDENTIAL PLAZA, SUITE 4900  
 180 NORTH STETSON AVENUE  
 CHICAGO, IL 60601-6780

## FORMALITIES LETTER



\*OC000000005917037\*

Date Mailed: 03/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE